

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
14826 (ETH-1579)

In Re Application Of: Dale R. Schulze

Serial No.  
09/966,971

Filing Date  
9/28/01

Examiner  
Unassigned

Group Art. Unit  
3701

Title: ARRANGEMENT AND METHOD FOR VASCULAR ANASTOMOSIS



Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

- The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- a certification as specified in 37 CFR 1.97(e);

**OR**

- the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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Serial No.  
 09/966,971

Filing Date  
 9/28/01

Examiner  
 Unassigned

Group Art Unit  
 3761

Title: ARRANGEMENT AND METHOD FOR VASCULAR ANASTOMOSIS



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
  - Charge the amount of \_\_\_\_\_
  - Credit any overpayment.
  - Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_) on \_\_\_\_\_ (Date).

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Typed or Printed Name of Person Signing Certificate

\*This certificate may only be used if paying by deposit account.

Signature

Leopold Presser  
 Registration No. 19,827  
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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on 12/13/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Mishelle Mustafa

Typed or Printed Name of Person Mailing Correspondence

Dated: December 13, 2001

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**Applicant(s):** Dale R. Schulze

**Examiner:** Unassigned

**Serial No:** 09/966,971

**Art Unit:** 3761

**Filed:** September 28, 2001

**Docket:** 14826 (ETH-1579)

**For:** ARRANGEMENT AND METHOD FOR VASCULAR ANASTOMOSIS **Dated:** December 13, 2001

Assistant Commissioner for Patents  
Washington, D.C. 20231

FEB 20 2002  
TECHNOLOGY CENTER R3700

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. U.S. Patent No. 6,015,416, dated January 18, 2000, issued to Stefanchik, et al.;
2. U.S. Patent No. 5,686,763, dated February 9, 1999, issued to Spence et al.;
3. U.S. Patent No. 5,893,369, dated April 13, 1999, issued to LeMole;
4. U.S. Patent No. 5,944,730, dated August 31, 1999, issued to Nobles et al.;
5. U.S. Patent No. 5,976,159, dated November 2, 1999, issued to Bolduc, et al.;
6. U.S. Patent No. 5,817,113, dated October 6, 1998, issued to Gifford, III, et al.;

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on December 13, 2001.

Dated: December 13, 2001

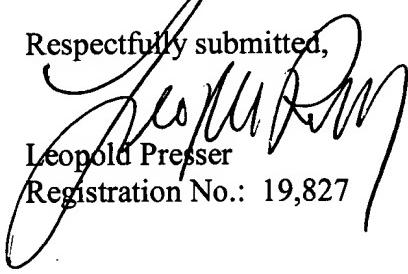
Mishelle Mustafa

7. U.S. Patent No. 5,695,504, dated December 9, 1997, issued Gifford, III, et al;
8. U.S. Patent No. 5,041,127, dated August 20, 1991, issued to Troutman;
9. U.S. Patent No. 4,657,019, dated April 14, 1987, issued to Walsh, et al;
10. U.S. Patent No. 4,368,736, dated January 18, 1983, issued to Kaster;
11. U.S. Patent No. 3,019,789, dated June 30, 1958, issued to Whitehill, et al.; and
12. PCT Application No. WO 98/37218, dated July 29, 1999.

Applicants are submitting copies of the above-cited references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



Leopold Presser  
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LP:lac